### Phase-locking of NTMs on DIII-D

#### Benjamin John Tobias

Princeton Plasma Physics Laboratory

B. Grierson<sup>1</sup>, M. Okabayashi<sup>1</sup>, C.M. Muscatello<sup>2</sup>, C.W. Domier<sup>2</sup>, N.C. Luhmann, Jr.<sup>2</sup>, S. Zemedkun<sup>3</sup>, T. Munsat<sup>3</sup>

<sup>1</sup>PPPL <sup>2</sup>U. California, Davis <sup>3</sup>U. Colorado, Boulder

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### Dynamics of stochastic collapse (1)

- Two islands couple and exchange momentum through linear processes:
  - cos<sup>2</sup> form of the 2/1 island separatrix produces a 4/2 'harmonic' fluctuation
  - toroidicity induces m±1 sidebands and linear
     JxB interaction with a 3/2 island chain
  - mutual inductive currents damp the rotation of the magnetic islands
  - viscous torques damp differential flow between the plasma surfaces





### Dynamics of stochastic collapse (2)

- Nonlinear mixing of the plasma responses to each NTM produces a  $3^{\rm rd}$  wave,  $\omega_3 = \omega_1 + \omega_2$
- This 3<sup>rd</sup> wave might be resonant with an otherwise stable mode at a 3<sup>rd</sup> rational surface
  - i.e., rotation at the 4/3 surface is also damped, 4/3 helical currents resonate with  $<\omega_3$ ,  $k_3>$
- Islands destabilized by penetration of the 3wave product experience a nonlinear torque associated with 3-wave mixing





### Dynamics of stochastic collapse (3)

- EM torques overcome momentum of the system and the viscous forces transferring that momentum to modes
  - higher order surfaces are destabilized
  - a cascade of tearing instabilities
  - island overlap and stochastic collapse
- If this model is valid, it suggests that by preventing phase-locking, one avoids thermal quench and prevents disruption





## Principle of disruption mitigation by prevention of NTM phase-locking

- Phase-locking dynamics often include an evolution of mode structure (k<sub>poloidal</sub>)
  - localization of the island (current profile)
  - structure of the plasma response (pitchresonant vs. kink-like spectra)
- If either of these processes has a sufficient energetic barrier, phase-locking cannot proceed and flow shear is maintained





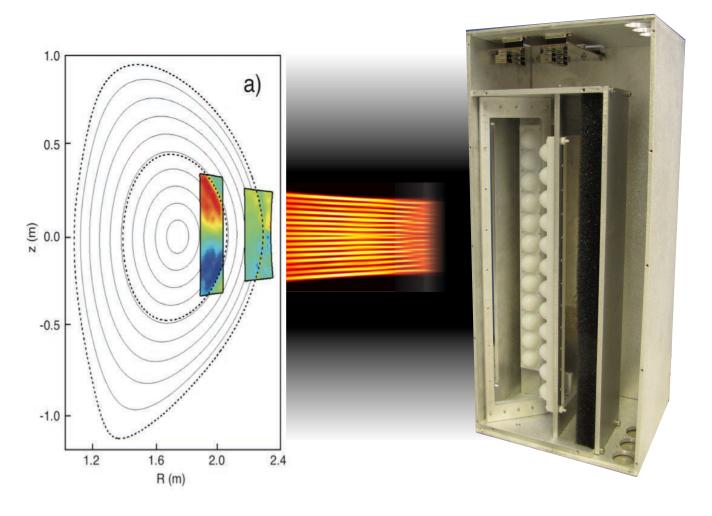
# Phase-locking: an energetically favorable alignment of propagating phase fronts

- In general, it is an agreement in phase velocity
- In tokamaks, it is the synchronous rotation of internal magnetic islands as they traverse the outboard midplane
- Phase-locking exacerbates the impact of NTMs
  - flattens rotation across multiple rational surfaces
  - contributes to a further degradation of confinement
  - makes the core more susceptible to external perturbation
- Inter-NTM torques may be manipulated to hold up flow shear
  - some NTMs simply cannot phase-lock
  - forces that otherwise cause phase-locking accelerate the edge plasma and invert the rotation profile





### Viewing geometry of ECE-Imaging



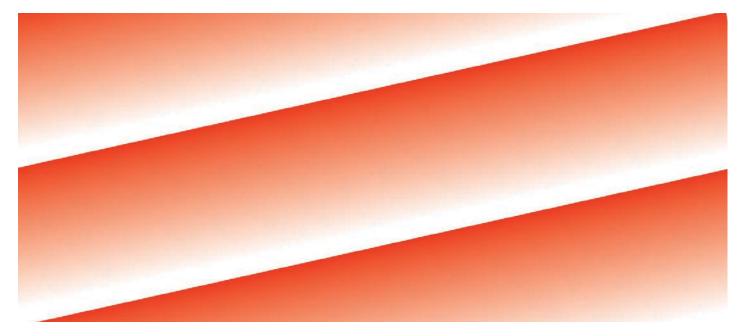




# Simplified model of the n=1 mode, as seen from the outboard midplane

$$n=1$$

poloidal angle (θ)



toroidal angle (φ)

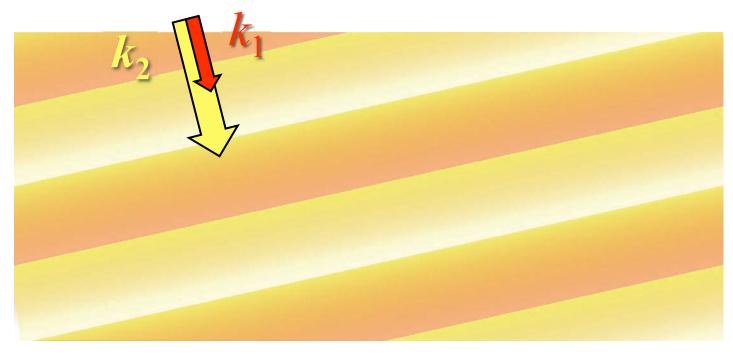




# Overlay a second mode, same pitch, i.e. having harmonic wavenumber, $k_2 = 2k_1$

$$n=1 + n=2$$

poloidal angle (θ)



toroidal angle (φ)



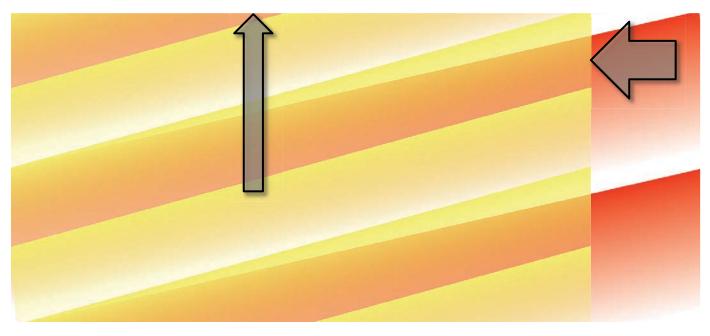


### Doppler shift due to differential rotation (faster n=2)

$$\Delta v_{phase,\theta} = \Delta \omega / k_{\theta}$$

$$\tau_n = n \frac{2\pi R}{v_{tor}}$$

poloidal angle (θ)



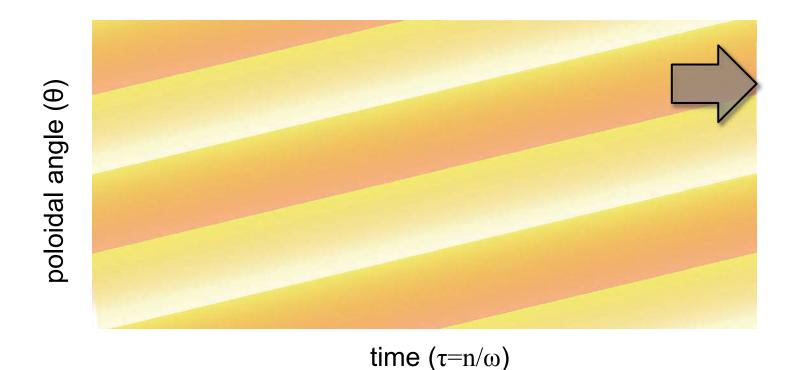
time 
$$(\tau=n/\omega)$$





# Toroidal ion fluid rotation adjusts so as to re-establish phase-locking

$$v_{tor} \downarrow$$
,  $\tau_n \uparrow$ 



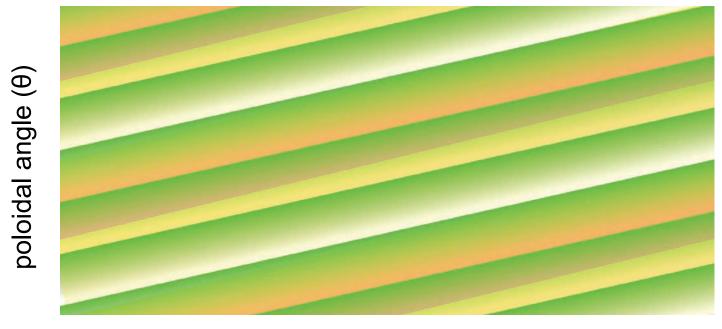




### n = 1, 2, 3, compose a set of harmonic modes

$$k_3 = k_1 + k_2 = 3k_1$$

$$\omega_3 = \omega_1 + \omega_2 = 3\omega_1$$



time  $(\tau=n/\omega)$ 





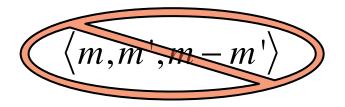
### Internal measurements are necessary to identify phase-locking and/or 3-wave coupling

3-wave selection criteria

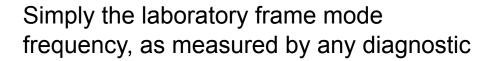
$$\langle \omega, \omega', \omega - \omega' \rangle$$
 $\langle k, k', k - k' \rangle$ 

$$\langle k, k', k-k' \rangle$$

$$\langle n, n', n-n' \rangle$$



 $m/n = \langle 3/2, 2/1, 4/3 \rangle$ 



Should be evaluated in both toroidal and poloidal dimensions

A good metric—toroidal mode is a good quantum number

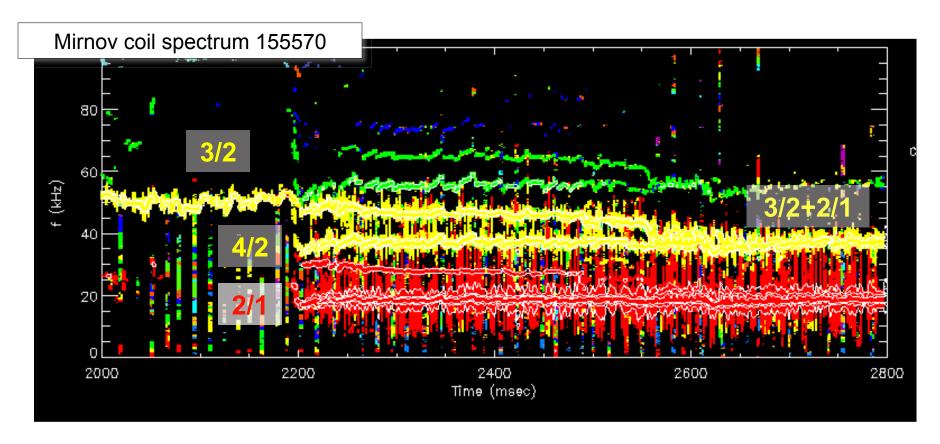
Need a local quantity.

**Example**: modes that are observed to phase-lock in 155570 (and similar)





## Synchronous toroidal phase propagation: coalescence of the 3/2 and 4/2 fluctuations



Begs, 'do phases propagate in sync poloidally?'





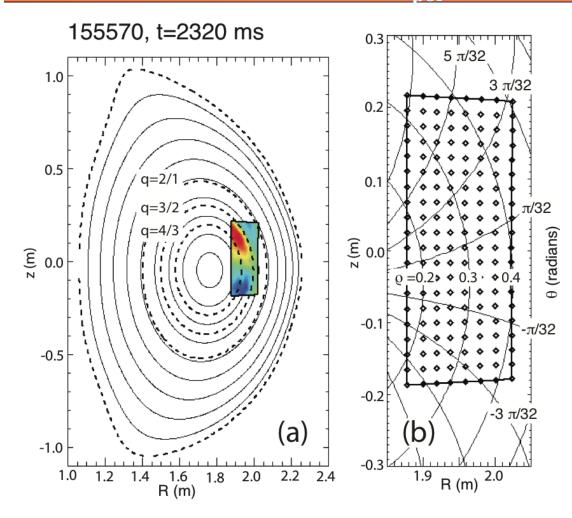
## Dynamics of phase-locking are studied in non-disruptive discharges

- 'Hybrid' scenario attempted
  - early 3/2 mode a key element
- Onset of 2/1 mode spoils the scenario
  - islands saturate in amplitude
- A region of the rotation profile flattens
  - islands rotate together, further degrading confinement
- But, discharge does not disrupt
  - 'bulk' rotation stays elevated
  - locking to external fields does not occur
  - an opportunity to probe the underlying mechanisms as they take hold over a localized region, without the threat of a global collapse in confinement





# 2D imaging data provides a local, 2D power spectral density, $S(\omega,k_{\rm pol})$ , at each radius



*M* linearly independent time records X *N(N-1)* channel pairs, each contributing a two-point measurement, *j*:

$$K^{j}(\omega) = \theta^{j}(\omega)/\Delta x$$

$$\theta^{j}(\omega) = \arg \left[ \Phi^{j*}(x_1, \omega) \Phi^{j}(x_2, \omega) \right]$$

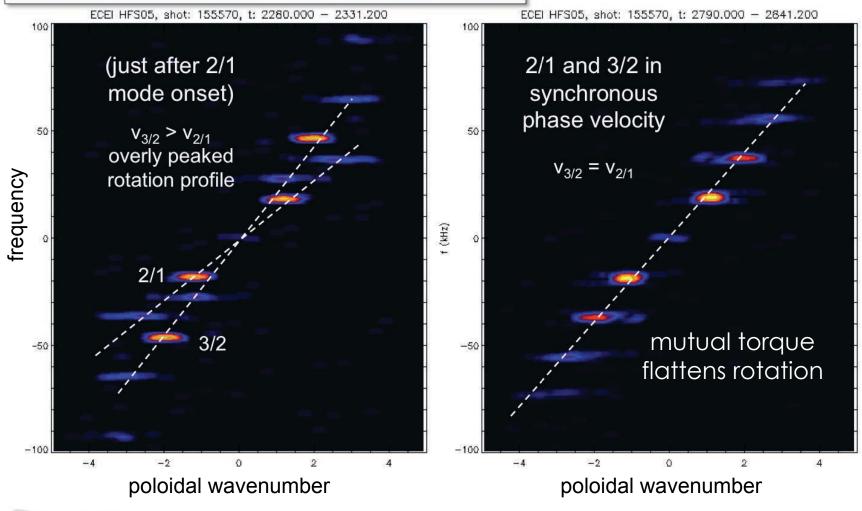
M\*N(N-1) ~3800 measurements, binned to divisions in wavenumber ( $k_{pol}$  0.4-150 m<sup>-1</sup>) and frequency (0-400 kHz)





# Internal, local measurements reveal synchronous poloidal phase propagation

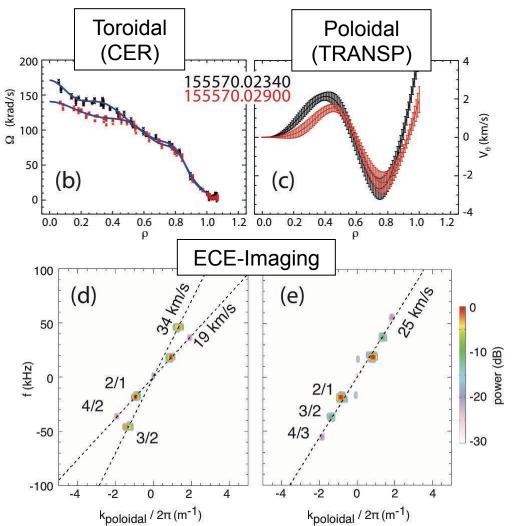
Core-localized ECE-Imaging data 155570







## In this regime, changes in MHD frequency reflect changes in fluid rotation



 Observed velocities agree with CER and TRANSP fitting

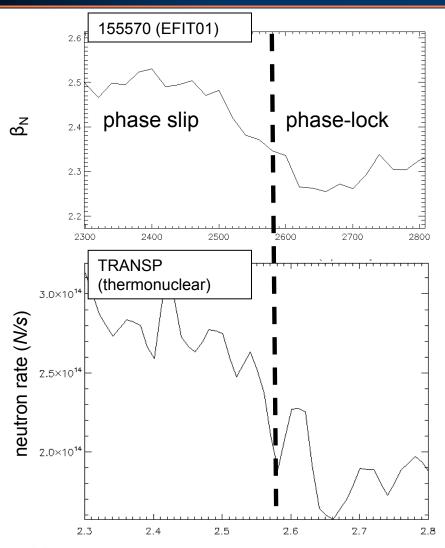
$$\omega = k_{pol} \left[ v_{Doppler} \right] + \omega_0 + \varepsilon(\omega, k)$$
$$v_{Doppler} \equiv v_{pol} - \gamma v_{tor}$$

- Toroidal rotation adjusts 'spontaneously'
  - no identifiable 'trigger'
  - NBI power (and torque) held constant





### Reduction in $\beta_N$ (and neutrons) at phase-locking

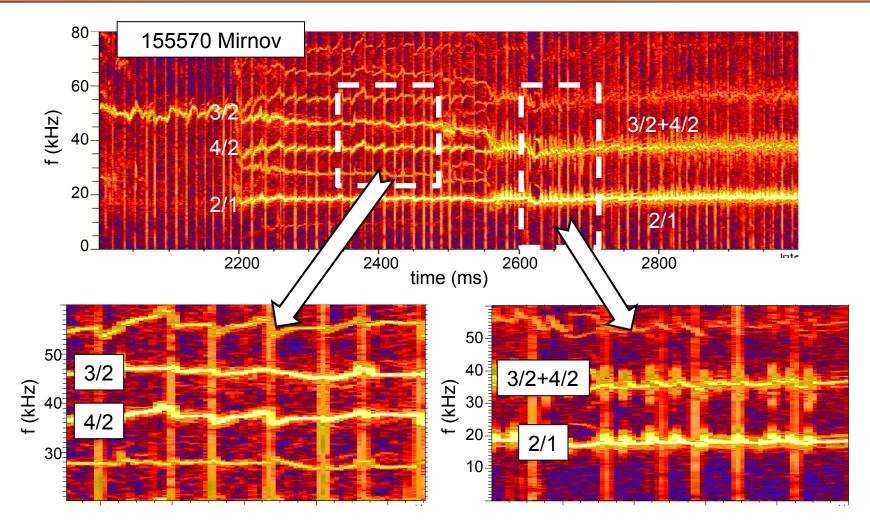


- Confinement is degraded as a consequence of phase-locking
- Neutron rates also fall (thermal plasma effect)





# Once phase-locked, modes behave dynamically as a single structure







#### How does one prevent phase-locking?

- Forces between islands (irrespective of n-number) act to reduce/eliminate flow shear
- These forces are significant enough to impact discharge dynamics (and control schemes)
  - rotation profile prediction/control
  - orderly shutdown/disruption avoidance
  - shot recovery/island suppression
- Poloidal and toroidal phase-locking torques may be made to counter each other, with toroidal EM and viscous torques in the same sense





# Destabilize two modes which, by design, have different pitch of phase:

$$\Delta v_{phase,\theta} = \omega \Delta \lambda_{\theta} \equiv \omega / \Delta k_{\theta}$$

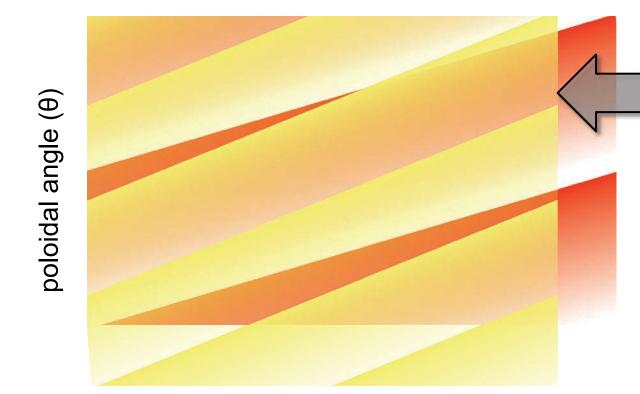


toroidal angle (φ)





# Again, the rotation profile at the onset of the modes exacerbates phase slip



$$\tau_n = n \frac{2\pi R}{v_{tor}}$$

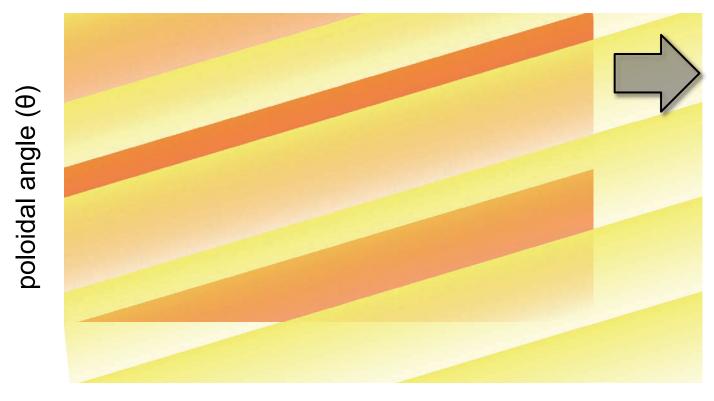
time  $(\tau=n/\omega)$ 





# Changes in rotation act to align phase fronts, but at the expense of frequency matching

$$\tau \sim 1/v_{toroidal}$$



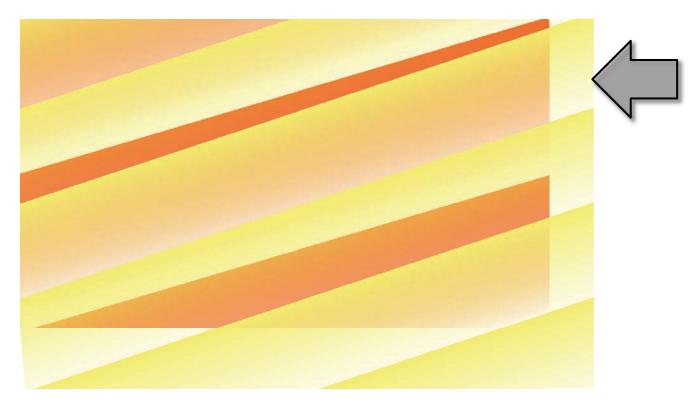
time 
$$(\tau=n/\omega)$$





# After rotation profile inversion, momentum diffusion opposes the alignment of phase fronts

poloidal angle  $(\theta)$ 



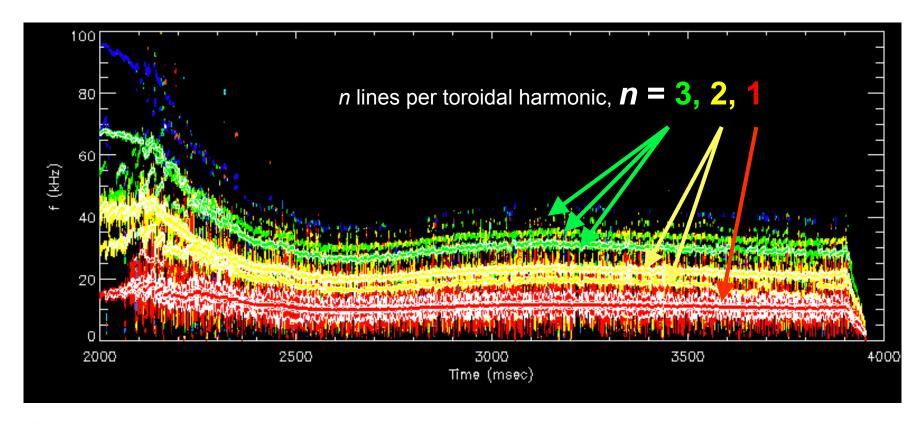
time  $(\tau=n/\omega)$ 





### Shot 155587: Phase-locking does not occur

- Mode pitch makes phase-locking impossible
- Viscous forces separate both phase velocities

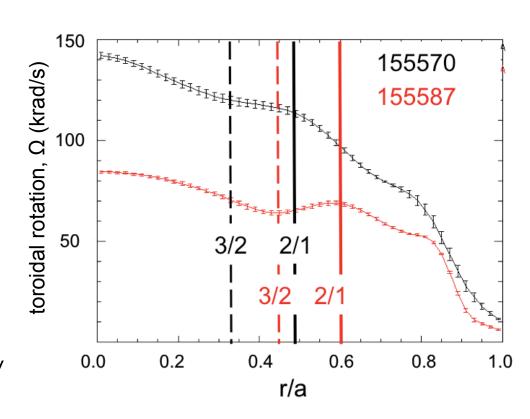






## Without phase-locking, the discharge takes on a very different character

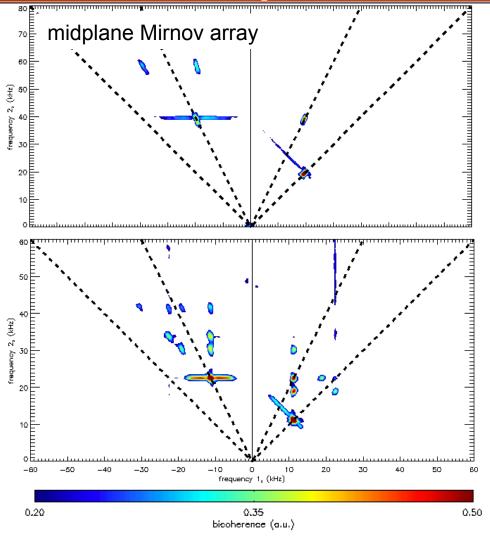
- Modes are larger, and nearer the edge
- Core rotation cut nearly in half
  - 20% decrease in angular momentum
- Gradient in the region between the modes is reversed
  - shearing rate held up by differential torques







# Bicoherence reflects sustained quadratic nonlinearities (nonlinear mixing products)



### Nonlinear coupling leads to coherent mixing

$$B(\omega_1, \omega_2) = \lim_{T \to \infty} \frac{1}{T} E[X(\omega_1)X(\omega_2)X^*(\omega_1 + \omega_2)]$$

$$b^{2} = \lim_{T \to \infty} \frac{1}{T} \frac{\left| B(\omega_{1}, \omega_{2}) \right|^{2}}{P(\omega_{1})P(\omega_{2})P(\omega_{1} + \omega_{2})}$$

$$k_{pol,3} = k_{pol,1} + k_{pol,2}$$

$$\sum_{n=3} P = P(3\omega_1) + P(\omega_1 + \omega_2)...$$

distribution of harmonics reflects the mixer response function





## Differential rotation lessens the impact of the modes on thermonuclear performance

#### Larger modes:

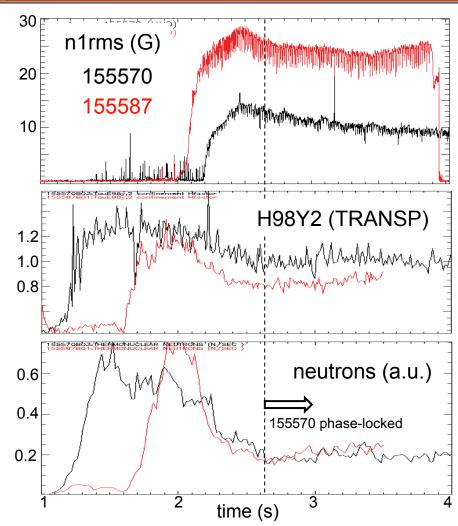
Increase from 10 to 25
 Gauss at the wall

#### Worse confinement:

- H98Y2 down 20%
- $-\beta_{\rm N}$ , 2.3  $\rightarrow$  2.0
- (lost fast particles)

#### The same measured neutron rate

 improved thermal production (partly due to mode location)

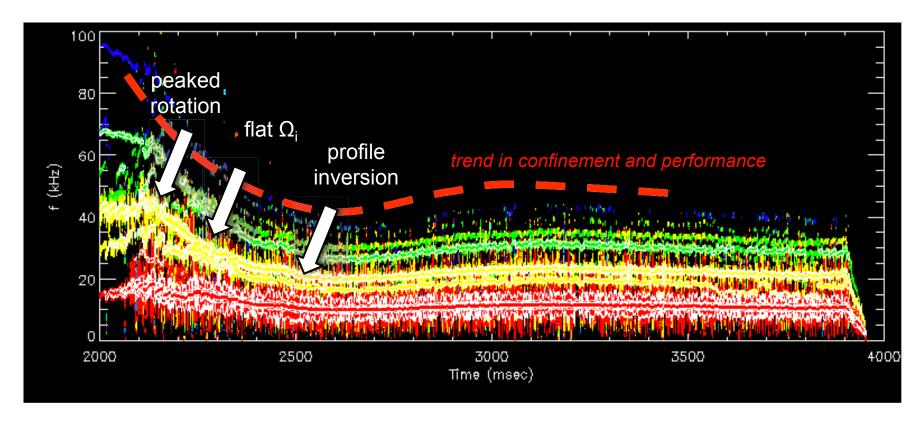






#### Further evidence of resonant effects

- Rotation evolves slowly toward torque balance
- Confinement trends upward after profile inversion

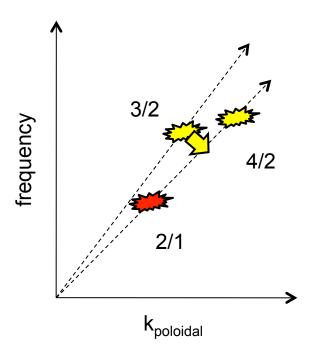


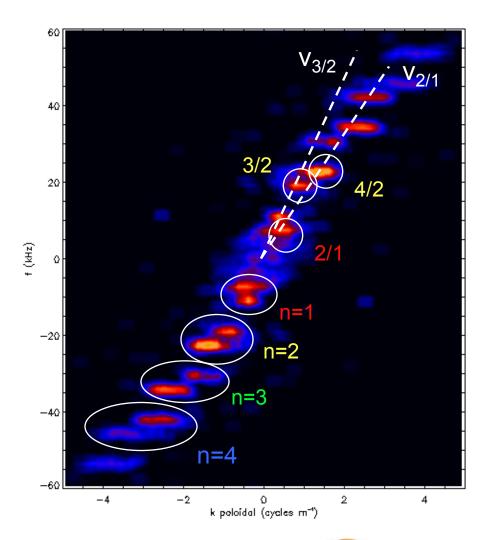




### Poloidal velocity: 3/2 > 2/1

Poloidal EM torque is decelerating--toroidal rotation profile is already inverted

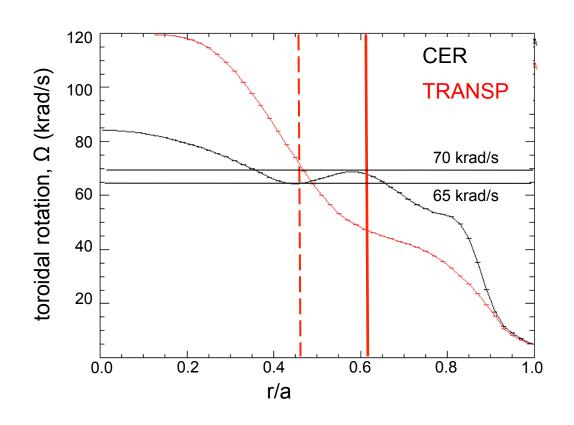








### Toloidal velocity: 3/2 < 2/1

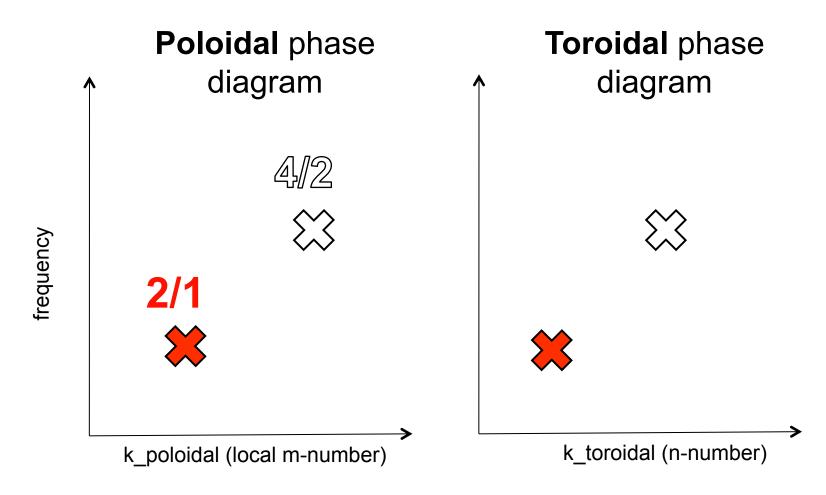


- Toroidal rotation profile inverted
  - 2/1 accelerated
- TRANSP has an unbiased approach to MHD—it includes none of it





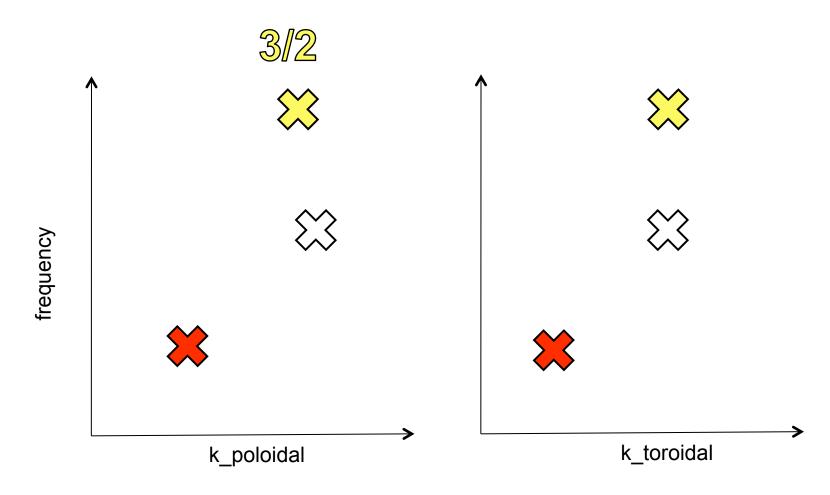
### A generic prescription to avoid phase-locking







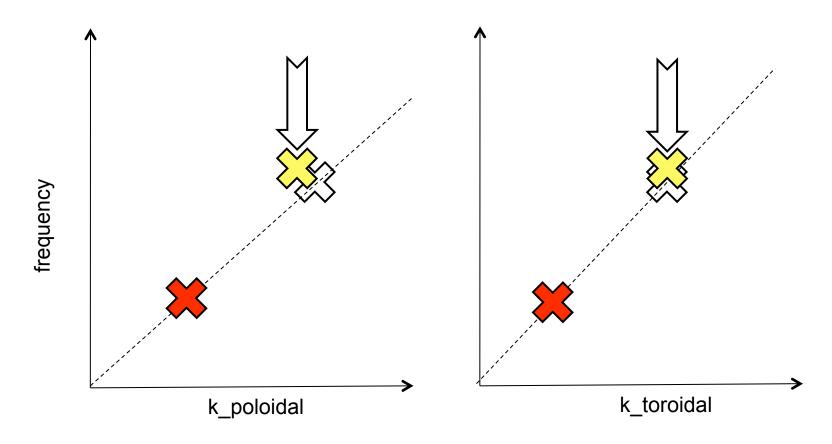
### Modes initially separated by toroidal rotation







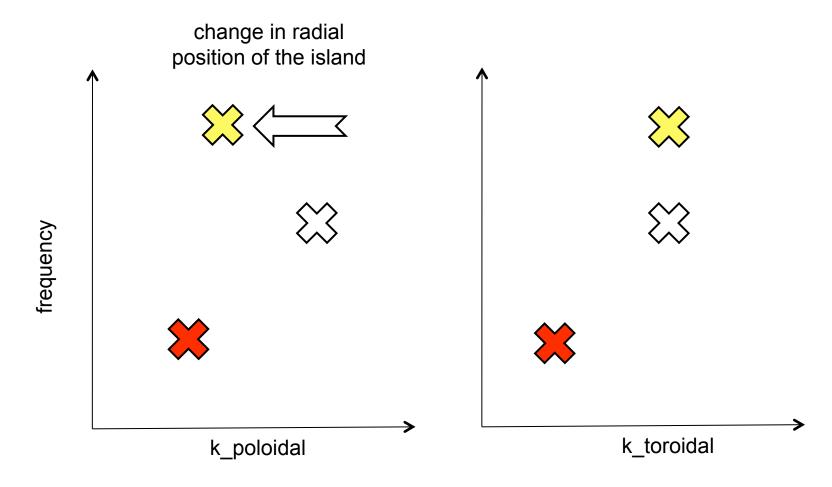
# Electromagnetic and viscous torques are brought into balance near phase-lock







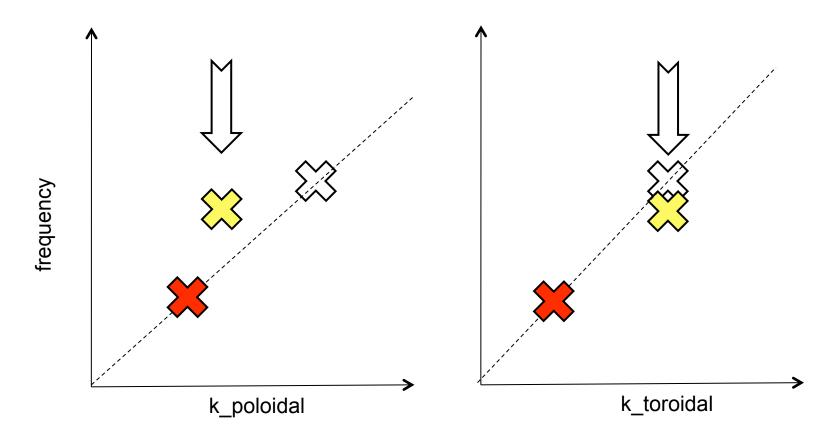
## 'Mode pitch,' a function of the current profile and radial location of the island







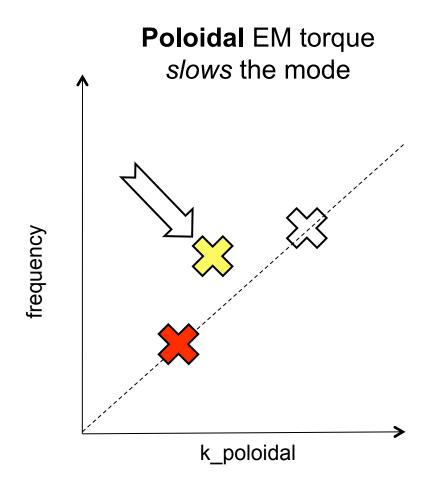
### Rotation decays toward torque balance

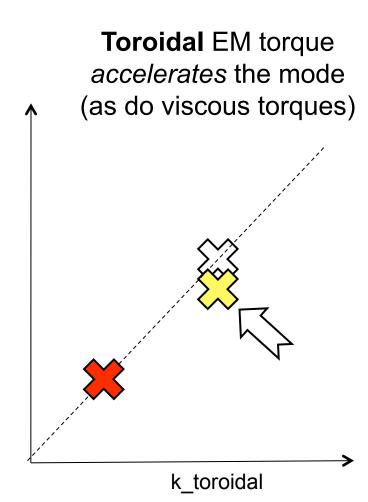






#### Poloidal and Toroidal torques are opposed



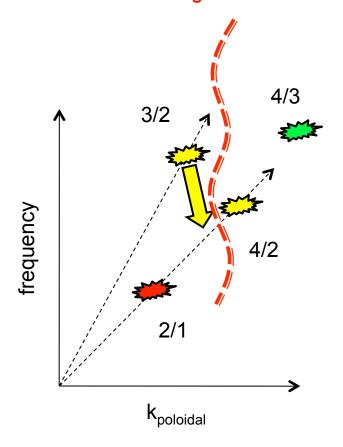






## Stiffness of mode structure prevents matching of poloidal wavenumbers

What determines this energetic barrier?



- 3/2 mode frequency drifts down past that of the 4/2, without evolving in wavenumber
  - $k_{3/2} \neq k_{4/2}$
- Change in k<sub>poloidal</sub> remains energetically unfavorable
  - Localization: current profile and flux surface shape
  - Response: dependence on other parameters (e.g.  $\beta_N$ ) of structure in ideal MHD region





### Concluding remarks

- Differential rotation, induced by the structure of the MHD, appears to lessen the impact of the islands
  - impact of large, slipping = smaller, locked islands
  - phase-locking can be avoided, if not universally prevented
- Questions for dedicated experiments:
  - Can the process of phase-locking be reversed? Can islands be unlocked and the discharge restored? Can EM torque heal higher-order islands and 3-wave mixing products?
  - Does the prevention of phase-locking delay the onset of thermal quench? Can a disruption be mitigated by interrupting the 'tearing cascade?'



